

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Filing Date 3/30/2004
3 Confirmation No. 6569
Inventorship Michael J. Sutherland
4 Applicant ERC
Group Art Unit
5 Examiner
Attorney's Docket No. ER1-009US
6 Title: Strategies for Managing Recommendations

INFORMATION DISCLOSURE STATEMENT

References -- See Attached Form PTO-1449

REMARKS

The citations listed are submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

Respectfully Submitted,

Date: April 11, 2006

By: David M. Huntley
David M. Huntley
Reg. No. 40309

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.98)

Application Number	10815099
Filing Date	2004-03-30
First Named Inventor	Michael J. Sutherland
Art Unit	
Examiner Name	
Attorney Docket Number	ER1-0009US

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code†	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code†	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	2002009588	A1	2002-07-25	Bladen, et al.	
	2	20020138318	A1	2002-09-26	Ellis, et al.	
	3	20030037053	A1	2003-02-20	Schwartz	
	4	20030120589	A1	2003-06-23	Williams, et al.	
	5	20030229509	A1	2003-12-11	Hall, et al.	
	6	20040015422		2004-01-22	Glick, et al.	

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NON-PATENT LITERATURE DOCUMENTS

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	1	Beroggi, et al., "Operational Risk Management," Engineering and Technology Management, 1998, Pioneering New Technologies: Management Issues of the Third Millennium, IEMC '98 Proceedings, International Conference on Oct. 11-13, 1998, pp. 447-451.	<input type="checkbox"/>

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CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

None

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.